

## PERSONAL DATA PROTECTION NOTICE

In accordance with the enactment of Personal Data Protection Act (PDPA) 2010 and UOB Kay Hian Securities (M) Sdn. Bhd. (“UOBKH”) aims to protect your personal data, we hereby disclose in this personal data privacy notice on how your personal data will be managed by UOBKH. UOBKH reserves the rights to review the personal data protection policy from time to time and may revise/amend the privacy notice to reflect changes in the law, changes in our business practices, procedures and structure, and various expectations from market and such changes shall be binding on you. An updated version of the Personal Data Protection Notice shall be posted on our website [www.utrade.com.my](http://www.utrade.com.my), notice board at all our premises and/or via other means of communication deemed suitable by UOBKH.

## PRIVACY NOTICE AND CONSENT FOR NEW CLIENT

For the purposes of this Notice, I hereby expressly consent and authorize UOBKH to use, collect, record, store, share and process my personal information, including, without limitation, my contact details, background information, financial data and other information relevant to my application for the product and / or service which :

- i. I have provided in this form or through any other contact with UOBKH Group (which shall include UOBKH's holding company(s), subsidiaries, and any associated company),
- ii. has been obtained from analysis of my payment and other transactions / services within the UOBKH Group, or
- iii. has been obtained from third parties such as employers, joint applicants / account holders, guarantors, legal representatives, industry / financial related associations, credit bureaus or credit reference agencies, retailers, social networks and fraud prevention agencies or other organizations.

Subject to the laws of Malaysia, UOBKH may use my personal data for the following purposes (“Purposes”):

- (a) The processing of my application for facilities/services;
- (b) Carrying out my instructions and performing the daily operations necessary in the provision of the facilities/services;
- (c) Carrying out credit and other status checks and debt collections and assisting other financial institutions to do so;
- (d) Assessing my ongoing credit worthiness;
- (e) Operating internal controls including determining amounts owed to or by me, payment to or collection of such amounts from me and from any persons providing security for my obligations and enforcing any charge or other security granted by or for me in respect of the facilities/services;
- (f) To enable UOBKH to discharge their duties and obligations under the Capital Markets and Services Act 2007, the Securities Commission Act 1993, the Securities Industry (Central Depositories Act) 1991, any other written law, the rules of Bursa or any co-operation arrangement with any relevant authority or any other stock or derivatives exchange, clearing house, securities depository authorised by the relevant local or foreign laws;
- (g) To enable UOBKH to discharge their contractual obligations;
- (h) To provide investor and other capital market education events and activities;
- (i) To enable the resolution of a concern or complaint;
- (j) To create directories or databases whether for publication or not;
- (k) To provide ongoing information about UOBKH’s events and programs, products and services to people that they believe may be interested in such event, programs, products and services;
- (l) To consider applications for employment;
- (m) To provide services;
- (n) To research, develop and improve UOBKH’s events, programs, products and services; and
- (o) For any other purposes that is incidental or ancillary or in furtherance to the above purposes.

I hereby further consent and agree to UOBKH disclosing my personal information to:

- (a) their parent company, subsidiaries, related and associated companies including their directors and advisers,
- (b) their registered representatives, co-organisers of events, business partners and service providers;
- (c) the Securities Commission, Bursa Malaysia, Bank Negara Malaysia, the Police, the Malaysian Anti- Corruption Commission, Companies Commission of Malaysia, Registrar of Societies and other supervisory, governmental or relevant authority;
- (d) any relevant authority or body such as the Malaysian Institution of Chartered Secretaries and Administrators (MAICSA) or the Malaysian Institute of Directors (MID);
- (e) any other stock or derivatives exchange, clearing house, securities depository authorised by the relevant laws;
- (f) the public at large by publishing the same in accordance with the relevant rules of Bursa;
- (g) auditors, professional firms or entities; and
- (h) any other person which UOBKH may think fit,

Notwithstanding the above, I further consent to the disclosure and/or transfer of my personal information to relevant third parties as a result of any restructuring, sale or acquisition of any company within the UOBKH Group, provided that the recipient uses my personal information for the Purposes only. I understand and acknowledge that it will be necessary for UOBKH to process my personal information for the Purposes, without which UOBKH will not be able to provide the product and/or service that I have requested from UOBKH.

Where I have provided personal and financial information relating to others (e.g. joint-applicant, spouse, related parties and/or emergency contact persons) for the Purposes, I represent and warrant that I have their consent or that I am otherwise entitled to provide their information to UOBKH. I further understand that I may request for access to correction or deletion of my personal information or limit the processing thereof (including personal data of others provided by me) at any time hereafter and that any inquiries or complaints with respect to my personal information may also be channeled to UOBKH by submitting such request to UOBKH via post, email or facsimile transmission to the following address:

**Customer Service**  
**UOB Kay Hian Securities (M) Sdn. Bhd.**  
**Ground Floor, Menara Keck Seng**  
**203, Jalan Bukit Bintang**  
**55100 Kuala Lumpur**  
**Tel: 1-800-88-7233 / 03-21471900**  
**Fax: 03-21471901**  
**E-mail: contact@ustrade.com.my**

#### **PDPA Acknowledgement and Declaration**

With reference to UOBKH's Privacy Notice above, I hereby solemnly:

1. declare that I have read and fully understood the Privacy Notice its contents which have been explained to me
2. consent, permit, agree and authorize UOBKH to have full access to my personal data and process my personal data information for the purposes stated above
3. undertake that I shall update and/or keep my personal data information up-to-date with UOBKH.

#### **CONSENT FOR CROSS SELLING, MARKETING, PROMOTIONS, ETC.**

In addition to the above purposes, I expressly consent and authorize UOBKH in accordance with Personal Data Protection Act to process any information that I have provided to UOBKH for the purposes of cross selling, marketing and promotions including administering offers and competitions, including disclosure to other companies within the UOBKH Group, its agent, servant and/or such persons or third parties as UOBKH may deem fit.

Yes       No

\_\_\_\_\_  
Signature

Name : \_\_\_\_\_

Date : \_\_\_\_\_