

5 INVESTMENT EXPERIENCE AND OBJECTIVES

Securities Trading Experience None < 1 year 1-5 years > 5 years
 Investment Objectives Investment Speculation Mixed Investment Objectives
 Risk Tolerance Aggressive Moderate Conservative

6 SETTLEMENT BANK ACCOUNT PARTICULARS

Bank Name: Account No⁹:

All payment payable to you shall be electronically crediting to your above bank account. Auto Payment to Trust Account Yes No

⁹Kindly take note that the bank account must be in the Applicant name and this bank must be located in Malaysia offering MEPS IBG services as all payment payable to the Applicant shall be electronically crediting to Applicant above Account No.

FOR INSTITUTIONAL APPLICANT ONLY – Bank and CDS Account of Custodian Bank (if applicable)

Name Of Custodian Bank

Branch

Bank Account No

Custodian Bank's CDS Account No

(If the above space is not enough, kindly attach the Settlement Instruction in separate sheets)

7 FINANCIAL BACKGROUND

Nature of business _____ Regulated Financial Institution: Country of Jurisdiction the Entity: _____

Shareholders' Funds¹⁰ RM _____ Reported Profit / (Losses) As Per Latest Audited Financial Statement RM _____
¹⁰Based on latest Audited Financial Statements Audited Financial Year as at : _____

Source of Wealth: Business/ Capital Loan Others: _____

REFER TO BOARD RESOLUTION FOR AUTHORISED SIGNATORIES AND SPECIMEN SIGNATURE OF AUTHORISED SIGNATORIES.

8 OTHER PARTICULARS OF APPLICANTS

a. Does the Applicant have Domestic Ringgit Borrowing as defined under BNM FEA rules? Yes No
(For further details, refer <https://www.bnm.gov.my/fep>)

b. Are the Applicant's Directors and/or authorised person related¹¹ to any staff or Director or Dealer's Representatives of UOB Kay Hian Securities (M) Sdn Bhd ("UOBKH")? Yes, name and relationship _____
 No
¹¹related persons means spouse, children, parents, or any accounts where any of the said person(s) has interest and companies in which the staffs, Directors or Dealers' Representative have at least 15% interest

c. Have the Applicant and/or authorised person ever opened an account with UOBKH? Yes _____ No
(please state account number and reason for closing of account)

d. Does the Applicant have existing Securities and/or Derivatives Trading Account with UOBKH? Yes, Account No _____ No

e. Is the Applicant trading for as Beneficial Owner? Yes No
If the Applicant is not the Beneficial Owner, kindly make declaration as per Rule 5.15(8) of the Rules of Bursa Malaysia Securities Berhad.
 If No, please select the Applicant is trading as:
 Authorised Nominee Exempt Authorised Nominee

f. Details of the Applicant's Directors and/or authorised persons with Trading Accounts maintained with UOBKH
 i) Name: None
 ii) Relationship: _____
 iii) Account No: _____

g. Would the Applicant consent and authorise UOBKH to process any information that has been provided to UOBKH for the purposes of cross selling, marketing and promotions? Yes No
By choosing yes, you expressly consent and authorize UOBKH to process any information that you have provided to UOBKH for the purposes of cross selling, marketing and promotions including administering offers and competitions, disclosure of information to UOBKH Group, its agent, and/or such persons in accordance with Personal Data Protection Act as UOBKH may deem fit.

h. Would the Applicant like to appoint and empower its dealer's representative (inclusive of Associated DRs and Trading Clerk of DRs) to manage administrative functions in relation to its trading account(s)? Yes No
*Please be aware of the action of choosing a representative would give rise to risks and legal consequences of which you must be prepared to accept. You **SHOULD NOT TICK "YES"** if you have not been informed of or do not fully understand the consequences. You are advised to obtain competent legal advice on your rights and obligations and clarify any doubts you may have before naming your representative(s).*

i. Information Collection Statement concerning Northbound China Connect Orders (**Applicable For Institutional Applicant Only**)
 a. The main account and all sub accounts of the institutional applicant are opened for (Funds / Proprietary Trading/ Others) * purpose
 b. The institutional applicant (belongs/ does not belong) * to Trade-through Exchange Participants ("TTEPs").
 The TTEP firm ID is: _____

** to delete whichever is not appropriate*

