

## ETF POWER PLAYERS INCENTIVE PROGRAMME 2023 TERMS & CONDITIONS

The ETF Power Players Incentive Programme 2023 ("Incentive Programme") is organised by Bursa Malaysia Berhad ("Bursa Malaysia"). By participating in the Incentive Programme, Participants (as defined below) agree to be bound by the Incentive Programme's terms and conditions and any amendments thereto ("Terms and Conditions").

### Incentive Programme Details:

Item	Details																
<b>Incentive Programme Period</b>	The Incentive Programme will run from 17 July 2023 until 29 December 2023 (both dates inclusive)																
<b>Specific Eligibility Criteria</b>	<p>Investors and traders who trade in one or multiple Bursa-listed ETFs during the Incentive Programme Period and who meet the criteria specified in the Eligibility clause below will be considered participants in the Incentive Programme ("Eligible Participants"). Eligible Participants will be grouped as follows</p> <p><b>Group A</b></p> <ul style="list-style-type: none"> <li>• Retail investors with direct CDS account(s) and/or nominee CDS account(s), excluding Discretionary Trading ("DT") accounts; and</li> <li>• Registered Representatives ("RRs") or traders operating a DT account.</li> </ul> <p><b>Group B</b></p> <ul style="list-style-type: none"> <li>• All investment account traders ("IVTs") with CDS account(s).</li> </ul> <p>Note: <sup>1</sup>Please note that for trading of Leveraged &amp; Inverse ("L&amp;I") ETFs, investors have to fulfil <u>ANY ONE</u> of the following criteria:</p> <ul style="list-style-type: none"> <li>• Be a Sophisticated Investor; OR</li> <li>• Have a margin account; OR</li> <li>• Have executed at least 5 transactions in exchange-traded derivatives, or structured warrants within the preceding 12 months; OR</li> <li>• Have utilised a performance simulator which simulates trading in L&amp;I ETFs units and undergone an e-learning tutorial developed by the Exchange for trading in L&amp;I ETFs units.</li> </ul> <p><a href="https://bursaacademy.bursamarketplace.com/en/article/equities/em-power-your-etf-investment-journey">https://bursaacademy.bursamarketplace.com/en/article/equities/em-power-your-etf-investment-journey</a></p> <p>Excluded persons are employees, directors, trainees and interns of the Bursa Malaysia group of companies.</p>																
<b>Incentives</b>	<p>The Incentives (each an "Incentive") that will be awarded to Eligible Participants under Group A and Group B who meet the relevant trade requirements are as follows: -</p> <p><b>Group A</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Category</th> <th style="text-align: center;">Total Traded Value (TTV) requirement</th> <th style="text-align: center;">Monthly Cash Incentive</th> <th style="text-align: center;">No. of Monthly Cash Incentive</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">A</td> <td>TTV per Trading Month: RM20,000 – RM80,000</td> <td style="text-align: center;">RM200</td> <td style="text-align: center;">30</td> </tr> <tr> <td style="text-align: center;">B</td> <td>TTV per Trading Month: RM80,000 – RM150,000</td> <td style="text-align: center;">RM800</td> <td style="text-align: center;">15</td> </tr> <tr> <td style="text-align: center;">C</td> <td>TTV per Trading Month: More than RM150,000</td> <td style="text-align: center;">RM1,500</td> <td style="text-align: center;">10</td> </tr> </tbody> </table>	Category	Total Traded Value (TTV) requirement	Monthly Cash Incentive	No. of Monthly Cash Incentive	A	TTV per Trading Month: RM20,000 – RM80,000	RM200	30	B	TTV per Trading Month: RM80,000 – RM150,000	RM800	15	C	TTV per Trading Month: More than RM150,000	RM1,500	10
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	<p><b>Group B</b></p> <table border="1" data-bbox="491 259 1391 539"> <thead> <tr> <th>Category</th> <th>TTV requirement</th> <th>Monthly Cash Incentive</th> <th>No. of Monthly Cash Incentive</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>TTV per Trading Month: Minimum RM250,000</td> <td>RM600</td> <td>3</td> </tr> <tr> <td>B</td> <td>TTV per Trading Month: Minimum RM750,000</td> <td>RM1,800</td> <td>3</td> </tr> <tr> <td>C</td> <td>TTV per Trading Month: Minimum RM2 million</td> <td>RM4,800</td> <td>2</td> </tr> </tbody> </table> <p>Example: For Group A, an Eligible Participant with RM60,000 TTV in July 2023 would fall under Category A for month of July 2023 and he would be in the running for the RM200 monthly cash incentive. The same Eligible Participant if he subsequently traded RM100,000 TTV in August 2023 would fall under Category B for month of August 2023 and he would be in the running for the RM800 monthly cash incentive.</p>	Category	TTV requirement	Monthly Cash Incentive	No. of Monthly Cash Incentive	A	TTV per Trading Month: Minimum RM250,000	RM600	3	B	TTV per Trading Month: Minimum RM750,000	RM1,800	3	C	TTV per Trading Month: Minimum RM2 million	RM4,800	2
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<p><b>Incentive Programme Mechanics</b></p>	<p>i. Eligible Participants under Group A and Group B must meet the TTV requirements for ETFs traded by the Eligible Participants during trading hours in a Trading Month (both buy and sell values) and the Eligible Participants (number as indicated in tables above) with the highest TTV for each category, ranked from highest to lowest will be awarded the Incentive(s).</p> <p>ii. Incentives will be awarded to Recipients (as defined in clause 3 below) above on a monthly basis based on the following period (each a "Trading Month"):</p> <table border="1" data-bbox="555 1144 1391 1424"> <thead> <tr> <th>Trading Month</th> <th>Period (both dates inclusive)*</th> </tr> </thead> <tbody> <tr> <td>July 2023</td> <td>17 July 2023 – 31 July 2023</td> </tr> <tr> <td>August 2023</td> <td>1 August 2023 – 31 August 2023</td> </tr> <tr> <td>September 2023</td> <td>1 September 2023 – 30 September 2023</td> </tr> <tr> <td>October 2023</td> <td>1 October 2023 – 31 October 2023</td> </tr> <tr> <td>November 2023</td> <td>1 November 2023 – 30 November 2023</td> </tr> <tr> <td>December 2023</td> <td>1 December 2023 – 29 December 2023</td> </tr> </tbody> </table> <p>*Notes:</p> <ul style="list-style-type: none"> <li>In the event that the start date of each period in the Trading Month falls on a non-trading day, the start date will be the next trading day.</li> <li>In the event that the end date of each period in the Trading Month falls on a non-trading day, the end date will be the trading day prior.</li> </ul> <p>iii. Each Recipient is eligible to receive a maximum of SIX (6) monthly Incentives, one (1) for each Trading Month.</p> <p>iv. For the purpose of determining the cumulative TTV, the ETF transactions made by the Eligible Participants across multiple direct CDS accounts or nominees CDS accounts will not be aggregated.</p> <p>v. Only trades executed within the stipulated period of a Trading Month (see table above) will be taken into consideration in determining the cumulative TTV for that Trading Month. Trades</p>	Trading Month	Period (both dates inclusive)*	July 2023	17 July 2023 – 31 July 2023	August 2023	1 August 2023 – 31 August 2023	September 2023	1 September 2023 – 30 September 2023	October 2023	1 October 2023 – 31 October 2023	November 2023	1 November 2023 – 30 November 2023	December 2023	1 December 2023 – 29 December 2023		
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	<p>executed in one Trading Month will not be rolled over into the next Trading Month.</p> <p>vi. Where an Eligible Participant executes trades in ETFs via nominee account(s), the Eligible Participant shall consent to the Eligible Participant's broker(s) providing and/or shall authorize the Eligible Participant's broker(s) to provide the following information to Bursa Malaysia, on a monthly basis:</p> <ul style="list-style-type: none"> <li>• Full Name (as per Identity Card/ Passport)</li> <li>• NRIC Number (MyKad)/ Passport Number</li> <li>• CDS Account Number</li> <li>• E-mail Address</li> <li>• Total value (buy and sell) of ETFs (RM) for each month during the Programme Period</li> </ul> <p>vii. In the case of RRs or traders operating DT accounts, investors whose ETF trades are executed in a DT account by the RRs or traders will not be entitled to the Incentives. The Incentives will only be made to RRs or traders operating the DT account. For DT via nominee account(s), the DT account's broker shall obtain the consent of the investor to provide the following information to Bursa Malaysia, on a monthly basis:</p> <ul style="list-style-type: none"> <li>• Full Name (as per Identity Card/ Passport)</li> <li>• NRIC Number (MyKad)/ Passport Number</li> <li>• CDS Account Number</li> <li>• E-mail Address</li> <li>• Total value (buy and sell) of ETFs (RM) for each month during the Programme Period</li> </ul> <p>viii. Brokers of Eligible Participants shall provide Bursa Malaysia with the list of Eligible Participants who trade in ETFs via nominee account(s) within the Trading Month(s), within 2 weeks from the end of the respective Trading Month. Any submission by a broker after the aforementioned time period will not be considered.</p> <p>ix. Any trades in ETFs that are performed before or after the Programme Period will not be eligible and/or considered for the purpose of this Incentive Programme.</p> <p>x. Eligible Participants are not obliged to hold the ETF(s) purchased until the end of the Trading Month(s) or the Programme Period.</p> <p>xi. Eligible Participants who have met the criteria to receive the Incentive(s) will be notified by their respective broker within 3 months from the end of each Trading Month, after which the respective brokers will be solely responsible to disseminate the Incentives to the said Eligible Participants.</p> <p>xii. Selection of Recipients will be made by Bursa Malaysia.</p>
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## **Eligibility**

1. This Incentive Programme is open to all residents of Malaysia. Eligible persons who participate in the Incentive Programme must be 18 years of age and above as at the date of participation in the Incentive Programme and must meet the specific eligibility criteria described in "Specific Eligibility Criteria" section in the table above (if any) ("Eligible Participants").
2. All costs, fees and/or related expenses that are incurred to participate in this Incentive Programme (if any) is the sole responsibility of the Eligible Participants.

## **Incentives**

3. Eligible Participants who meet the Eligibility criteria in these terms and conditions and the criteria described in the "Programme Mechanics" section in the table above will be entitled to the Incentives described in the "Incentives" section in the table above ("Recipients").
4. Bursa Malaysia will issue the Incentives to the Recipients in the manner described in the "Programme Mechanics" section in the table above.
5. Bursa Malaysia reserves the right to conduct verification and require additional documentation and information (including, without limitation, proof of identity) from the Recipients before delivering the Incentives to the Recipients.
6. The Incentives are not exchangeable for cash, or other items in kind, and are not transferable.
7. Bursa Malaysia will not be held liable or responsible for any late, misdirected, lost or unsuccessful efforts to notify the Recipients or to issue the Incentives. Bursa Malaysia shall have no liability for any Recipients' failure to receive notices due to spam, junk e-mail or other security settings, email services and/or interruption in the internet connection or any disruption or failure in other means of communication or despatch to the Recipients which is not within the control of Bursa Malaysia.
8. Recipients are solely responsible for any taxes, levies and fees payable as a result of Incentives received.
9. All risks associated with the use of the Incentives shall be assumed by the Recipients.
10. Bursa Malaysia reserves the right to substitute the Incentives with that of equivalent or similar value at any time without prior notice.

## **Disclaimers**

11. To the extent permitted by law, in no event shall Bursa Malaysia or any of its respective officers, servants, employees, directors, affiliates and subsidiaries, representatives and/or agents (including without limitation, any third party service providers engaged by Bursa Malaysia for purposes of this Incentive Programme) be liable to Eligible Participants in this Incentive Programme for loss or damage of any kind, including any direct, indirect, special, incidental, consequential, punitive or exemplary loss or damage arising from or in connection with participation in this Incentive Programme; acceptance, possession, use and/misuse of the Incentives. Without prejudice to the generality of the foregoing, this Incentive Programme is provided 'as is' without warranty of any kind, either express or implied, including but not limited to, implied warranties of merchantability, fitness for a particular purpose or non-infringement.

## **Personal Data Protection**

12. Any personal data of the Eligible Participants submitted as part of the Incentive Programme will be processed for the purposes of the Incentive Programme and where relevant, the purposes described in the Personal Data Notice on the BURSAMKTPLC website. To read the Personal Data Notice, click [here](#).

## **General Terms and Conditions**

13. Bursa Malaysia reserves the right to withdraw, cancel, suspend, extend or terminate the Incentive Programme and/or to vary, delete or add to any of the Terms and Conditions. To the extent permitted by law, any cancellation, termination, withdrawal or suspension of this Incentive Programme will not entitle the Eligible Participants to any compensation against Bursa Malaysia for any and all losses or damages that may be suffered or incurred by the Eligible Participants as a direct or indirect result of the act of cancellation, termination, withdrawal or suspension.
14. Bursa Malaysia's decision on any matters relating to the Incentive Programme (including any Incentive(s)) shall be final, conclusive and binding and no further correspondence, appeals or attempts to dispute the same will be entertained in any event.
15. Failure to comply with the Terms and Conditions may result in disqualification from receiving or claiming any Incentive.
16. The Incentive Programme and the Terms & Conditions shall be governed by the laws of Malaysia.