

Equities Active Traders Campaign

15 March 2025 - 31 December 2025

Equities Active Traders Campaign
TOTAL 5 CAMPAIGNS RUNNING FROM 15 MAR - 31 DEC 2025

Claim HABIB Gift Vouchers when you trade on Utrade

Achieve a minimum of **RM5,500 brokerage per exchange** and we'll reward you with a **HABIB Gift Voucher**. Trade across **11 stock exchanges** and potentially **claim 11 HABIB Gift Vouchers** in each campaign!

* Terms & Conditions apply

Terms and Conditions

1) Campaign and Organizer:

These Terms and Conditions shall govern the **Equities Active Traders Campaign** ("Campaign") organized by **UOB Kay Hian Securities (M) Sdn Bhd** (hereinafter referred to as "**UOBKH**").

2) Campaign Period:

This Campaign runs from **15 March 2025** to **31 December 2025**, and is divided into five (5) campaign periods (collectively referred to as "Campaign Periods") per the table below:

Campaign Period	Start & End Date of Campaign Period	Eligible Markets	Qualifying Brokerage per Market
Campaign #1	15 Mar 2025 – 30 Apr 2025	1. BM 2. SGX 3. HKEX 4. SZSE 5. SSE 6. NASDAQ 7. NYSE 8. AMEX 9. ARCA 10. LSE 11. ASX	The reward is awarded for each exchange trade of RM5,500 or more, across eleven (11) eligible markets in each campaign period. Participation is automatic.
Campaign #2	1 May 2025 – 30 Jun 2025		
Campaign #3	1 Jul 2025 – 31 Aug 2025		
Campaign #4	1 Sep 2025 – 31 Oct 2025		
Campaign #5	1 Nov 2025 – 31 Dec 2025		

3) **Eligibility:**

- a) The Campaign is open to **new and existing individual and corporate retail customers of UOBKH** only (collectively referred to as “Eligible Customers”).
- b) Institutional clients, UOBKH dealer representatives and staff accounts are not eligible for this Campaign.
- c) Eligible Customers will be disqualified from the Campaign if their accounts with UOBKH are closed before the announcement of the winners.
- d) Eligible Customers who enjoy special rates are not entitled to participate in this Campaign. Please enquire with your Dealer's Representative or Remisier.

4) **Campaign Mechanics:**

- a) The total qualifying gross brokerage is **applicable to online trades executed by the Eligible Customers** during the Campaign Period.
- b) The trades must be performed online **in any or all** of the following eligible markets (collectively referred to as “Eligible Markets”):

Tier	Exchange Name	Short Exchange Name
1	Bursa Malaysia	BM
2	Singapore Stock Exchange	SGX
3	Hong Kong Stock Exchange	HKEX
4	Shenzhen Connect	SZSE
5	Shanghai Connect	SSE
6	NASDAQ	NASDAQ
7	New York Stock Exchange	NYSE
8	NYSE American	AMEX
9	NYSE ARCA	ARCA
10	London Stock Exchange	LSE
11	Australia Securities Exchange	ASX

- c) The **qualifying gross brokerage must be achieved separately in any of the Eligible Markets**. Brokerage from different Eligible Markets cannot be combined to reach the qualifying threshold.
- d) Eligible Customers are **entitled to receive one prize per Eligible Markets** upon achieving the qualifying gross brokerage during Campaign Period. For example, achieving the qualifying brokerage in Bursa Malaysia and Shanghai Connect **will result in two (2) prizes**.
- e) The total qualifying gross brokerage paid by Eligible Customers will be calculated **within forty-five (45) calendar days** from the end date of each Campaign Period, or such other date(s) and time(s) as determined by UOBKH.
- f) Gross brokerage shall be defined as excluding exchange and clearing fees, levies and taxes and/or other applicable charges. Determination of the qualifying gross brokerage paid shall be at the sole discretion of UOBKH.
- g) The winners will be selected based on achieving the total cumulative qualifying brokerage by Eligible Customers during the Campaign Period.
- h) Under this Campaign, participation is automatic, no forms to be filled up.

5) Campaign Prizes:

- a) The prizes for this Campaign are as follows:

Tier	Incentive Prize	Qualifying Total Brokerage Paid
1	Habib Gift Card worth RM1,000	RM100,000
2	Habib Gift Card worth RM500	RM50,000
3	Habib Gift Card worth RM250	RM25,000
4	Habib Gift Card worth RM50	RM5,500

6) Contacting the Winners:

- a) UOBKH will inform the winners **via email** using the current contact details in its records and such notification shall be effective and deemed to have been received by the winners.
- b) The winners will be notified **within sixty (60) calendar days** from the end date of each Campaign Period.
- c) All prizes are non-transferable and may not be exchanged in any format.

7) Prize Collection:

- a) The winners will be required to self-collect the prizes at **UOB Kay Hian, Ground Floor, Menara Keck Seng, 203 Jalan Bukit Bintang, 55100, Kuala Lumpur**. There will be no delivery of the prizes to the winners.
- b) In special situations, and subject to the absolute discretion of UOBKH, the winners may nominate a designated representative to collect the prizes at the above stated address. The winners shall sign an authorization form and attach a copy of identification card of both the representative and the winners. No responsibility will be accepted by UOBKH for this mode of collection.
- c) If the prizes are not redeemed and activated **within thirty (30) calendar days after the email prize notification**, the winners will be considered as forfeiting the prize, and the final disposition of the prize will be at the discretion of UOBKH.
- d) By receiving the prize, winners agree to indemnify and keep UOBKH and all its staff indemnified at all times against any claims, demands, actions, suits, proceedings, loss and expenses (including legal costs on a full indemnity

basis) that may arise in any manner howsoever from or in relation to the usage, quality, or any other aspect associated with the prizes. UOBKH makes no representation or warranty with respect to the prizes, including the services, merchantability, fitness for a particular purpose or that the prize will be available. In addition, all prizes are provided “as-is”. UOB Kay Hian is not responsible for any products or services provided by the prize merchant platform, including product sales or after-sales. Please contact the merchant directly for enquiries.

8) Terms and Conditions on Reward Points:

- a) This Campaign cannot be combined with existing UTRADE Rewards benefit.
- b) If the winners choose to receive the prize from this Campaign, the reward points earned on trades during the Campaign Period will be deducted.
- c) Redemption of any Reward Points during the Campaign Period will result in automatic disqualification from this Campaign.
- d) Prevailing terms and conditions on UTRADE Rewards apply.

9) General Terms and Conditions:

- a) UOBKH may, in its sole and absolute discretion and without prior notice, replace, change or substitute any prizes with another of similar value.
- b) UOBKH may, in its sole and absolute discretion and without prior notice, change any of these Terms and Conditions including terminating or suspending this Campaign or extending the Campaign Period. Any such changes will be announced at the following channels:

Official Website : <https://www.utrade.com.my>

Official Facebook : <https://www.fb.me/UOBKayHianMalaysia>

Official Instagram : <https://www.instagram.com/UOBKayHianMalaysia>

Official Telegram : <https://www.t.me/UOBKayHianMalaysia>

- c) UOBKH may, in its sole and absolute discretion, run multiple campaigns concurrently. Where an eligible participant is selected as a winner in more than one simultaneous campaign, that participant shall be eligible for a prize from only one (1) campaign. In such cases, the participant will be awarded the prize with the greatest monetary or equivalent value.
- d) By participating in this Campaign, the winners agrees to UOBKH's privacy policy (<https://www.utrade.com.my/public/privacypolicy>), have their photos

taken with the presented prize, have their name, photo, and general information used and published on UOBKH website and/or social media for marketing purposes.

- e) UOBKH reserves the right, in its absolute discretion, to disqualify/reject Eligible Customers from this Campaign for any reason whatsoever, including upon the occurrence of the following:
 - i. The Eligible Customers failed to pay UOBKH for his/her executed trade(s) and/or has outstanding payment to UOBKH during the Campaign Period.
 - ii. Suspended and/or terminated accounts during Campaign Period will be automatically disqualified.
- f) UOBKH reserves the right to suspend, withdraw or terminate this Campaign at any time for any reason whatsoever and without notice. UOBKH shall not be liable to any person for any loss or damage howsoever arising in connection with the Campaign.
- g) All Eligible Customers in the Campaign expressly and irrevocably permit and authorise UOBKH to disclose, reveal and divulge information regarding their particulars to the parties involved in organising and/or facilitating the Campaign. Further, UOBKH reserves the right to reveal clients' details, to the relevant authorities if it is requested to do so.
- h) UOBKH reserves the right in its absolute discretion to add to, delete, vary, modify and/or supplement any one or more of these Terms and Conditions, at any time without prior notice, in such manner as UOBKH shall deem fit, including without limitation, the eligibility of any customer, product and market, the qualifying criteria and the Campaign Period, without assigning any reasons therefore and without any compensation whatsoever or being liable for any claims, costs, expenses, losses or damages suffered by any person as a result of the aforementioned matters or arising from these Terms and Conditions.
- i) The decision of UOBKH on all matters relating to the Campaign and these Terms and Conditions shall be final, conclusive and binding on all UOBKH clients who participate, including, without limitation, any decision on the eligibility of any person or cancellation or suspension of the Campaign and no correspondence or appeal will be entertained.
- j) In the event of any inconsistency between these Terms and Conditions and any marketing material relating to this Campaign, these Terms and Conditions will prevail.

- k) In the event of any unforeseen matters arising that are not covered in these Terms and Conditions, they will be determined solely by UOBKH.
- l) UOBKH's records, statements and confirmations of all matters relating to the Campaign are conclusive and binding on the clients. However, UOBKH is entitled, for any reason and at any time, without liability or prior notice, to suspend the calculation and/or to rectify any error in calculation or any error on any record, statement or confirmation, or otherwise to adjust such calculation and to demand immediate return or repayment from the client of the prize erroneously paid over to the client as a result of such error.
- m) These Terms and Conditions shall be governed by the laws of Malaysia. UOBKH clients participating in the Campaign will irrevocably submit to the non-exclusive jurisdiction of the courts of Malaysia.
- n) The above information has not been reviewed by the SC and is subject to the relevant warning, disclaimer, qualification or terms and conditions stated herein.

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